

TRANSMITTAL FORM

1c930 U.S. PTO
09/682189
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Electronic Version

1.0.2

Stylesheet Version:

1.0

Attorney Docket

Number:

211945US6CON

METHOD, SYSTEM, AND COMPUTER PROGRAM PRODUCT FOR TRADING INTEREST RATE SWAPS

First Named Inventor: Warren B. Mosler

SUBMITTED BY

Name:

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Registration Number:

34,648

Electronic Signature Mark: /JJK

Date Signed: 20010802

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Attached Files:

bibd-transmittal

receiptsapds.xml

specification

application.xml

declaration

dec01.TIF

declaration
declaration
fee-transmittal

dec02.TIF
dec03.TIF
receiptsfee.xml

Attached Image File(s):

dec01.TIF
dec02.TIF
dec03.TIF

09652189.080201

Comments:

The present application is a continuation of application Serial No. 09/209,746. The specification being filed is not identical to the specification filed in 09/209,746; however, no new matter has been added. Specifically, the Summary of the Invention and the Abstract are changed to coincide with the presently filed claims, which recite that the price of the contract is based on at least one notional cash flow discounted by at least one point on an interest rate swap curve obtained from a swap rate source. This change is supported by the specification of application Serial No. 09/209,746 in Figure 4(b), which provides an exemplary swap rate curve formed from discrete points. In addition to this example, other portions of the specification and drawings may also support the present claims.

09682139.060201

FEE TRANSMITTAL

Electronic Version 1.0.4

Stylesheet Version: 1.0

Patent fees are subject to annual revisions on or about October 1st of each year.

Large Entity

TOTAL FEES AUTHORIZED: \$ 2264

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number: 15-0030



Deposit Account Name: Oblon, Spivak et al.

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

SUBMITTED BY

Authorized Name: James J. Kulbaski

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Date Signed: 20010802

BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	101	\$ 710

Subtotal For Basic Filing Fee: \$ 710

EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 63	103	\$ 18	43	\$ 774
Independent Claims: 9	102	\$ 80	6	\$ 480

Subtotal For Extra Claims Fees: \$ 1254

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ADDITIONAL FEES

Fee Description	Fee Code	Fee Paid
Publication Fee For Early or Voluntary Publication	195	\$ 300

Subtotal For Additional Fees: \$ 300

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